

Announcing **comport365**TM

Horrigan Resources, Ltd. (“HRL”) announced today the release of **comport365**TM, a proprietary web-based service delivering substantial organizational resources to investment advisory and broker-dealer compliance professionals. **comport365**TM offers a dedicated and secure compliance portal for scheduling, tracking, and reporting critical compliance activities. The calendar-centric tool captures events ranging from policy/procedure testing and office inspections to meetings and training programs – virtually any activity performed or monitored by the CCO in the course of implementing the compliance program across the enterprise. **comport365**TM benefits the CCO by providing a consolidated, secure, user-friendly venue for monitoring and communicating compliance activities.

The investment advisory annual review and broker-dealer certification processes are substantially simplified and enhanced through the customizable capabilities of **comport365**TM. HRL’s proprietary regulatory guidance is embedded within **comport365**TM to assist the CCO in the performance of relevant and effective testing and review activities.

“We designed **comport365**TM to assist multitasking CCOs, whether solely dedicated to the compliance function or managing dual operational *and* compliance responsibilities,” noted Betsy Rathz, founder of HRL and architect of **comport365**TM. “This subscription service complements our client service offerings with the singular objective of helping investment advisers and broker-dealers efficiently manage their compliance capital by ensuring the timely and effective implementation of the compliance program.”

comport365TM offers valuable features such as event search, recurring event scheduling, custom reporting, and automated e-mail notification to advise employees of pending compliance deadlines and responsibilities. In the area of policy/procedure testing, **comport365**TM incorporates regulatory rationale, review findings and recommendations, as well as follow-up flagging capability to help CCOs track open compliance issues. For compliance meeting and training events, the tool captures agendas, attendees, minutes, and action plans for easy access, notification, and reporting.

Investment advisers may choose from more than 60+ pre-populated events requiring regulatory review, such as advertising and marketing, advisory fees, and trade allocation. Broker-dealers may choose from 80+ FINRA-mandated activities which include mark-ups and commissions, suitability, and insider trading. In addition, users may add to **comport365**TM any event or activity deemed relevant to the unique compliance protocol of the enterprise.

HRL - Who We Are

HRL helps investment advisers and broker-dealers lighten their regulatory compliance burden so they can focus on gathering assets and serving clients. HRL delivers compliance expertise and product solutions - such as risk assessments and audits, policy/procedure development, training and continuing education, as well as on-call consulting resources - scaled to fit the unique operating needs of each client. HRL’s professional experience spans decades working inside major financial service enterprises in compliance, sales management, trading, portfolio management, and training.

Visit www.horriganresources.com today to schedule a web demo of **comport365**TM and learn more about HRL compliance solutions.